

Comprehensive Counterparty Management for Asset Managers & Fund Distributors

Designed to streamline and enhance the way relationships between Asset Managers and Fund Distributors are managed

Why Do Financial Services Firms Choose Delta Data for Counterparty Management?

Asset Managers

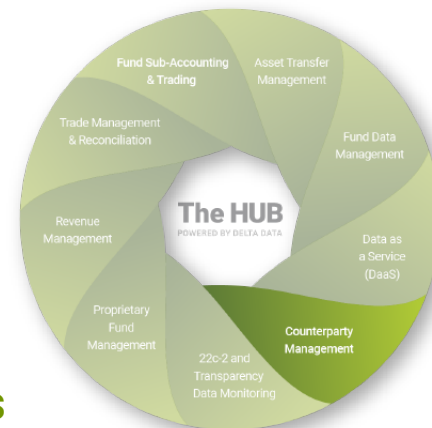


Counterparty Management serves as a platform for consolidating both qualitative and quantitative data about distribution partners, creating a solid foundation for a strong Oversight process.

From contact information and documents to fee schedules and performance metrics, all essential details are stored in one place allowing Asset Managers to track assets, distribution fees, and trading violations across their dealer network.

With the centralized approach, Asset Managers can pull data from various silos within their organizations into insightful dashboard analytics, enabling effective and efficient evaluation and risk-ranking of intermediaries.

Fund Distributors



For Fund Distributors, our solution simplifies the complex and often time-consuming task of gathering and analyzing business insights.

With quick access to contract terms, executive summaries, key contacts, documents and more, Intermediaries gain a comprehensive overview of their Fund Partner relationships in just minutes.

By making this information readily available, Delta Data's Counterparty Management solution reduces the effort required to manage these relationships, providing clarity and efficiency to your operations.

Workflow Process Automation

Within the Counterparty Management Solutions, workflow process automation delivers flexible, automated workflows to modernize and simplify the management of the relationship between fund distributors and asset managers, bringing efficiency to many manual, resource-intensive processes.

This automation allows asset managers and distributors to automate all processes in managing their counterparty relationships. The workflows integrate with and enrich current processes, allowing for effective management with an auditable quality control system to ensure every step is completed properly.

Processes that gain value from automated workflows include initiating and tracking onboarding agreements, contract execution, contract maintenance, document management, annual intermediary reviews, and oversight.

Benefits Realized by Fund Companies and Fund Distributors

Centralized Data Management

Easily manage and access all communication, documentation, and other data through a single, compliant repository.

Streamlined Processes

Automate tasks through user-friendly portals and dashboards that provide real-time status updates, reducing manual efforts and ensuring the tight controls required by internal auditing are achieved.

Improved Collaboration

Foster stronger partnerships between fund distributors and asset managers with transparent information sharing and clear communication channels.

How It Works

Each step in the new workflow process is developed as an individual widget. As each widget is added, it is included in Delta Data's workflow library. The library is used to select widgets needed to create the foundation for specific workflows. Steps in the workflow process can be customized to fit unique business needs.

More efficiently
manage your
counterparty activities

Delta Data Counterparty Management solutions provide asset managers and fund distributors with must-have tools to best monitor their counterparty relationships.

