

Pooled investment distribution fee management

SOLUTIONS FOR ASSET MANAGERS

Simplify your mutual fund distribution fee management

Delta Data's global Fee Management solution streamlines intermediary fee processing, enhancing efficiency and reducing risk for clients, wherever they do business.

“Since we onboarded Fee Manager, **invoice processing time has plummeted by at least 70%**.

This time savings has allowed our associates to be **more analysts than data entry personnel**.

We also observed a reduction in paper waste and a decrease in expenses for materials. We look forward to pulling our board reporting exhibits data from Deal Manager. This function will save our team from additional manual processing time and create more accurate and timely reporting.”

NATASHA RIPPEL

Director, Fund Operations

Thornburg Investment Management



WHAT YOU CAN EXPECT



Straight-Through Processing

Saves time and resources

- Error-free invoices flow directly into payables management
- Straight-through processing with configurable tolerance authorizes approval of accurate invoices
- Configurable payment data includes invoiced amount, calculated amount, advice, journal entries, and auxiliary support data



Exception Workflow

Enables prioritization

- Exception-based processing prioritizes focus
- Role-based reviewers and authorized approvers have contracts, invoices, tolerances, and calculations available to process errors when an exception is identified or a tolerance is not met



Audit Capabilities

Ensures regulatory compliance

- Audit trail for all data points, tasks, and transactions
- Role-based security and approvals
- Full access to historical records
- Compliance with stringent regulations, including Distribution in Guise rules, pursuant to differentiating fees paid by funds and those paid by fund distributor companies



Global Processing Functionality

Delivers consistent intermediary fee processing wherever our clients conduct business

- Support for global fund identifiers, including Sedol, ISIN, & CUSIP
- Process fees in any currency, with automatic payment conversion capabilities
- Manage all intermediary fees for funds regardless of where they are domiciled with unparalleled consistency

ABOUT OUR APPROACH –

Effective Fee Management
Program Automation

Calculations

Calculations are run to confirm that the invoice is correct and matches the billable assets and automation is employed to optimize the analysis of omni-transparency data

Fee calculations are performed using distribution transparency data, your accounts, your contract agreements, and certified Delta Data technology

Reasonability Checks

Reasonability checks are conducted to affirm that payment aligns with intermediary agreement and prospectus

Perform custom reasonability checks using any combination of TA data, transparency data (DSA, DSP, Omni/SERV), and supplemental data

Allocation and Reporting

Produce accurate, consistent, and real-time reporting that breaks out fees by the appropriate fee types, such as 12b-1, revenue share, sub-TA

Reduce regulatory risk through the employment of manual processes with automated allocation and reporting capabilities

Start **simplifying** your
mutual fund distribution
fee management

Delta Data's Fee Management solution is the leading tool in the mutual fund industry for managing and approving intermediary partner invoices.



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