

Fund trade management and position & income reconciliation

SOLUTIONS FOR DISTRIBUTORS

A comprehensive mutual fund/pooled assets trading and reconciliation platform for financial intermediaries.

Modernize trade processing with the NSCC, sub-custodian(s), or directly with fund managers with automation to; reduce the need for manual adjustments, minimize errors, and provide heightened transaction visibility.

Trade Management

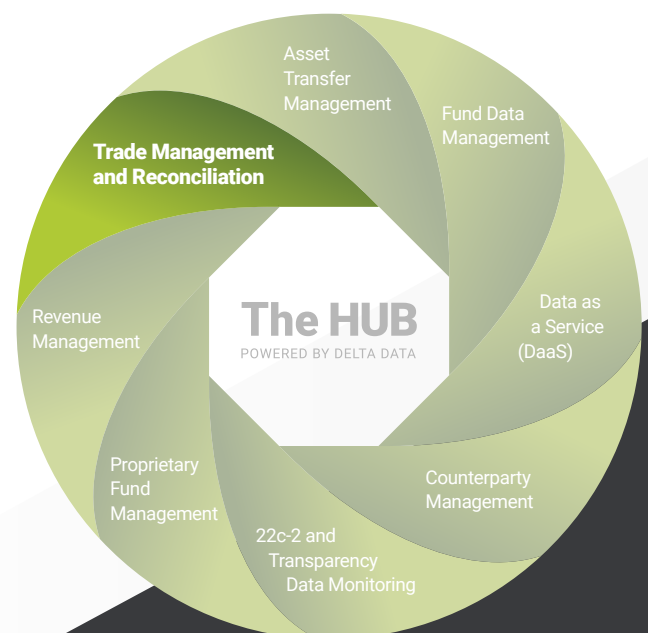
A centralized trading desk, which facilitates a direct connection to investment managers via Fund/SERV, Networking, DCC&S, Mutual Fund Profile Service, and DTCC Payment aXis. The trade management system delivers streamlined trade execution with efficient and controlled exception handling.

Position Reconciliation

A fully automated daily position reconciliation for both fully disclosed and omnibus mutual fund accounts. Position reconciliation compares reported positions, analyzes variances, determines outstanding items, categorizes the nature of the reconciling items, and assigns a reconciliation status based on the results.

Income Reconciliation

The processing of dividend and capital gains payments by calculating anticipated distribution amounts. The income reconciliation function calculates the daily interest earned on accrual funds and maintains calculated amounts for each day in the accrual period. At period-end, the calculated amounts are reconciled with the actual paid dividend.



Must-Have Functionality

Aggregation and Disaggregation of Omnibus Trades

Aggregate trades from the book of records system to create Omnibus trade, reducing the number of trades executed. De-aggregate settled trades to properly credit accounts in the book of records systems.

Full Validation of Transactions Before Being Sent to Fund

Automate order validation to reduce risk of rejected trades.

Sophisticated Trade Routing

Automate support for trading with an unlimited number of clearing partners, streamlining bifurcated trading. Eliminate the need for "one-off" trading.

Intelligent Logic for Position and Income Reconciliation

Facilitate exception-based processing for increased resource efficiency.

Our fully automated, rule-based trade decision engine has the ability to manage multiple clearing conduits from a single platform, and much more...

A Comprehensive Suite of Trading Applications

to automate account registration, trading, settlement, position reconciliation, & income processing

Maximized Use of Straight-Through Processing

and streamlined trade execution with efficient and controlled exception handling

Support for Scalability, Stability, Control and Security

to meet the challenges of business growth

Start **optimizing**
your trading &
reconciliation
process

All of our solutions are specifically designed to eliminate the complexity of processing mutual funds, CITs, and other pooled products, as well as provide oversight capabilities for these securities, allowing the mutual fund industry to communicate more easily, manage risk more effectively, and process data more efficiently.



www.deltadata.com



sales@deltadata.com



(706) 321-5551

